



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Elisabeth M. Bock, et al.	Examiner:	Unassigned
Serial No.:	09/781,509	Art Unit:	Unassigned
Filed:	February 12, 2001	Docket:	12754A
For:	NEUROGENIC COMPOSITIONS AND METHODS	Dated:	May 11, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. International Patent No. WO 92/10200, published June 25, 1992;
2. International Patent No. WO 95/20656, published August 3, 1995;

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on May 11, 2001.

Dated: May 11, 2001

*Janet Grossman*  
Janet Grossman

3. Winningham-Major, F., et al. (1989) "Neurite Extension and Neuronal Survival Activities of Recombinant S100B Proteins That Differ in The Content and Position of Cysteine Residues", The Journal of Cell Biology, Vol. 109, pp. 3063-3071;

4. Azmitia, E.C., et al. (1990) "S-100B but not NGF, EGF, insulin or calmodulin is a CNS serotonergic growth factor", Elsevier Science Publishers B.V. (Biomedical Division);

5. Ebralidze, A., et al. (1989) "Isolation and characterization of a gene specifically expressed in different metastatic cells and whose deduced gene product has a high degree of homology to a  $\text{Ca}^{2+}$ -binding protein family", Genes and Development, US, Cold Spring Harbor, NY, Vol. 3, 1994, pp. 1086-1093; and

6. Klingman, D., et al. "The S100 Protein Family", Trends in Biochemical Sciences, Vol. 13, No. 11, 1988, pp. 437-443.

The references were cited in a Search Report received from the International Patent Office dated February 26, 2001. Applicants are submitting copies of the above-cited references, along with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

It is further noted that Reference No. 5, the article by Ebralidze, A., et al., was previously submitted in a prior Information Disclosure Statement dated February 12, 2001 in connection with the above-identified application.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Frank S. DiGiglio  
Registration No. 31,346

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1.590 04400 / 04-23-01 #1

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Docket No.  
12754A

MAY 14 2001

Under 37 CFR 1.97(b) or 1.97(c))

In Re Application Of

Elisabeth M. Bock, et al.

Serial No.  
09/781,509

Filing Date  
February 12, 2001

Examiner  
Unassigned

Group Art Unit  
Unassigned

Title: **NEUROGENIC COMPOSITIONS AND METHODS**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
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In Re Application Of: Elisabeth M. Bock, et al.

Serial No.  
09/781,509

Filing Date  
February 12, 2001

Examiner  
Unassigned

Group Art Unit  
Unassigned

Title: **NEUROGENIC COMPOSITIONS AND METHODS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
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*Janet Grossman*  
Signature of Person Mailing Correspondence

**Janet Grossman**  
Typed or Printed Name of Person Mailing Correspondence

*Frank S. DiGiglio*  
Signature

Dated: May 11, 2001

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*Janet Grossman*  
Signature of Person Mailing Correspondence

Janet Grossman

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